

CONTROLLED TECHNOLOGY / TECHNICAL DATA LICENSE COMPLIANCE QUESTIONNAIRE

Please answer the following questions based on your expectations of the Beneficiary's duties and access to equipment, software, and/or information at this time:

1. Will the beneficiary be involved in research projects whether funded from the projects directly or not?

Yes No

If yes, please provide the related UFIRST numbers (Project #, Award # or Agreement #):

2. Are any of the projects the Beneficiary will be involved in sponsored by the U.S. DoD, NASA, DHS, DoE or a commercial entity?

Yes No

3. Will the Beneficiary be involved in research projects involving publication restrictions and/or foreign national restrictions?

Yes No

4. Will the Beneficiary need access to use, operate, or view equipment and/or specialized/scientific software in relations to the duties listed on the page 1 of this form controlled under the International Traffic in Arms Regulations (ITAR) or that is otherwise military-related?

Yes No

If yes, please answer the following questions:

- a. Provide the United States Munitions List (USML) category. (Note: The USML category can be obtained from the manufacturer, vendor and/or provider).

- b. Please indicate any specialized/scientific software that will be in source code related to the equipment?

Will the Beneficiary need to have access to commodities, equipment, software or technology restricted under a Technology Control Plan? Yes No

If yes, please provide the responsible PI for the Technology Control Plan.

Please notify Immigration Compliance Services a minimum of 30 days in advance of any change to the Beneficiary's employment that would require modifying any of the answers above.

FOR COMPLETION BY AN AUTHORIZED OFFICIAL IN THE SPONSORING UNIT, ONLY

*I certify that with respect to the technology or technical data the Petitioner [the University] will release or otherwise provide access to the Beneficiary (identified above), I have reviewed the Export Administration Regulations (EAR) and the International Traffic in Arms (ITAR) and have determined that:

(Select one of the statements below)

A license is not required from either U.S. Department of Commerce or the U.S. Department of State to release such technology or technical data to the foreign national person (Beneficiary).

A license is required from the U.S. Department of Commerce and/or the U.S. Department of State to release such technology or technical data to the Beneficiary and the Petitioner [Sponsoring Unit] will prevent access to the controlled technology or technical data by the Beneficiary until and unless the Petitioner has received the required license or other authorization to release it to the Beneficiary.

*Statement provided by USCIS

Name

Title (Chair, Director, Associate Dean for Research, etc.)

Department/Center/Division

Signature

Date

NOTE: If the conditions of employment for the Beneficiary warrant a license, documentation that evidences the need for the license, or a copy of the license, or other government authorization must be submitted with this attestation.